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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	60709-00016
First Inventor	Charlotte G. Peterson, Sada Reddy, Lisa Demko, Jeannie Pumphrey, Douglas R. Kornish, Harsha Hatti and Kim Giancaspro
Title	WEB-BASED SYSTEM FOR MANAGING SOFTWARE ASSETS
Express Mail Label No.	EL319733275US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:  
Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification  
(Preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

[Total Pages 38]

4.  Drawing(s) (35 USC 113) [Total Sheets 16]

5. Oath or Declaration [Total Pages 2]

a.  Newly executed (original or copy)

b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]

i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

7.  CD-ROM or CD-R in duplicate, large table or Computer Program(appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)

a.  Computer Readable Form

b.  Specification Sequence Listing on:

- i.  CD-ROM or CD-R (2 copies); or
- ii.  Paper

c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)

11.  English Translation Document (if applicable)

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15.  Certified Copy of Priority Document(s)  
(If foreign priority is claimed)

16.  Other: Certificate of Express Mail; Declaration and Power of Attorney  
(submitted for purposes of inventor identification only)

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

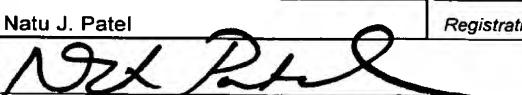
Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label) (Insert Customer No. or Attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below
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ATTORNEY NAME	John S. Beulick, Esq.				
ADDRESS	Armstrong Teasdale, LLP. One Metropolitan Sq., Suite 2600				
CITY	St. Louis	STATE	MO	ZIP CODE	63102
COUNTRY	US	TELEPHONE	314-621-5070	FAX	314-621-5065

Name (Print/type)	Natu J. Patel	Registration No. (Attorney/Agent)	39,559
Signature			Date November 2, 2000

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

		Complete If Known		
		Application Number		
		Filing Date		
		First Named Inventor	Charlotte G. Peterson, Sada Reddy, Lisa Demko, Jeannie Pumphrey, Douglas R. Kornish, Harsha Hatti and Kim Giancaspro	
		Group Art Unit		
		Examiner Name		
<b>TOTAL AMOUNT OF PAYMENT</b>		\$3,034.00	<b>Attorney Docket Number</b>	60709-00016

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																											
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <b>01-2384</b>  Deposit Account Name <b>[Redacted]</b>  <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.16 and 1.17				3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid 105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge-late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2520 147 2520 For filing a request for ex parte reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1840* 113 1840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for reply within first month 116 390 216 195 Extension for reply within second Month 117 890 217 445 Extension for reply within third month 118 1390 218 695 Extension for reply within fourth month 128 1890 228 945 Extension for reply within fifth month 119 310 219 155 Notice of Appeal 120 310 220 155 Filing a brief in support of an appeal 121 270 221 135 Request for oral hearing 138 1510 138 1510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive – unavoidable 141 1240 241 620 Petition to revive – unintentional 142 1240 242 620 Utility issue fee (or reissue) 143 440 243 220 Design issue fee 144 600 244 300 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Stmt 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 710 246 355 Filing a submission after a final rejection (37-CFR 1.129(a)) 149 710 249 355 For each additional invention to be examined (37 CFR 1.129(b)) 179 710 279 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination of a design application Other fee (specify) _____																																											
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other																																															
FEE CALCULATION																																															
1. BASIC FILING FEE <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>710</td> <td>201</td> <td>355</td> <td>Utility Filing Fee</td> <td>710.00</td> </tr> <tr> <td>106</td> <td>320</td> <td>206</td> <td>160</td> <td>Design Filing Fee</td> <td></td> </tr> <tr> <td>107</td> <td>480</td> <td>207</td> <td>245</td> <td>Plant Filing Fee</td> <td></td> </tr> <tr> <td>108</td> <td>710</td> <td>208</td> <td>355</td> <td>Reissue filing Fee</td> <td></td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional Filing Fee</td> <td></td> </tr> <tr> <td colspan="4"></td> <td>SUBTOTAL (1)</td> <td>710.00</td> </tr> </tbody> </table>								Large Entity Fee Code (\$)	Entity Fee Code (\$)	Fee Description	Fee Paid	101	710	201	355	Utility Filing Fee	710.00	106	320	206	160	Design Filing Fee		107	480	207	245	Plant Filing Fee		108	710	208	355	Reissue filing Fee		114	150	214	75	Provisional Filing Fee						SUBTOTAL (1)	710.00
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2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th>Total Claims</th> <th>-20**</th> <th>98</th> <th>x</th> <th>18.00</th> <th>=</th> <th>1,764.00</th> </tr> <tr> <th>Independent Claims</th> <th>-3*</th> <th>7</th> <th>x</th> <th>80.00</th> <th>=</th> <th>560.00</th> </tr> <tr> <th>Multiple Dependant</th> <td></td> <td></td> <td>x</td> <td></td> <td>=</td> <td></td> </tr> </thead> <tbody> <tr> <td colspan="4"></td> <td>SUBTOTAL (2)</td> <td>2324.00</td> <td></td> </tr> </tbody> </table> <p>**or number previously paid, if greater; For Reissues, see above</p>								Total Claims	-20**	98	x	18.00	=	1,764.00	Independent Claims	-3*	7	x	80.00	=	560.00	Multiple Dependant			x		=						SUBTOTAL (2)	2324.00													
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SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Natu J. Patel	Registration No. (Attorney/Agent)	39,559	Telephone	314-621-5070
Signature				Date	November 2, 2000

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PATENT  
60709-00016

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Express Mail mailing label number: **EL319733275US**

Date of Mailing: November 2, 2000

I certify that the attached utility patent application of **CHARLOTTE G. PETERSON, SADA REDDY, LISA DEMKO, JEANNIE PUMPHREY, DOUGLAS R. KORNISH, HARSHA HATTI and KIM GIANCASPRO** for **WEB-BASED SYSTEM FOR MANAGING SOFTWARE ASSETS** (Attorney Docket No. **60709-00016**) including:

- Certificate of Mailing Via Express Mail (1 pg.)
- Utility Patent Application Transmittal (1 pg.)
- Fee Transmittal (in duplicate) (1 pg.)
- Declaration and Power of Attorney (2 pgs.)(submitted for purposes of inventor identification only)
- Nineteen (19) pages of specification; eighteen (18) pages of claims; one (1) page of abstract
- Sixteen (16) sheets of drawings
- Return post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to the Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231.



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